

Pre-funded Instruments Policy

1. The firm will primarily accept funds from the clients by account payee cheque only.
2. If the client wants to pay any fund by demand draft/pay order, then he must give a duly signed declaration form that the demand draft/pay order has been made out of his own funds.
3. If the client wants to pay any fund by demand draft/pay order for Rs. 50000 or more, then he shall get a declaration/certificate from the bank that the demand draft/pay order has been made by the respective bank.
4. In case of electronic funds transfers like RTGS/NEFT , the clients may also submit the screenshot from the bank's website clearly indicating the bank details to identify the source from where the funds are transferred.
5. The payment of fund through demand draft/pay order will only be considered when the demand draft/pay order will be realized.
6. Documentary Evidence in support of issuance of pre funded instrument from the bank account of the client which may include:
 - Certificate from the issuing bank on its letterhead or on a plain paper with the seal of the issuing bank.
 - Certified copy of the requisition slip (portion which is retained by the bank) to issue the instrument.
 - Certified copy of the passbook/bank statement for the account debited to issue the instrument.
 - Authentication of the bank account-number debited and name of the account holder by the issuing bank on the reverse of the instrument

To

Manager

DPL Comtrade Private Limited
Plot No. VC-1,Prime Plaza, 1st
Floor,Sector-3,Vaishali,Ghaziabd,
201010,U.P.

CLIENT CODE: _____ CLIENT NAME: _____

Sub: Declaration for submission Demand Draft (DD)

Dear Sir,

I hereby confirm that the DD No. _____ dated _____ drawn on _____ Bank, in favour of M/s DPL Comtrade Private limited. has been drawn/prepared out of my own funds acquired through legitimate sources and does not involve and is not designed for the purpose of contravention or evasion of any applicable act, rules and regulations and the same is being submitted towards my account maintained with your company/tobe maintained with your company, for trading in securities.

Kindly credit the same in my account.

Thanking You.

Signature of the Client: _____

Date: _____

Place: _____

For Office Use

Signature of the RM / Branch Manager: _____

For use at Head Office

Name: _____

Received on: _____

Signature: _____